


Please type a plus sign (+) inside  box

Approved for use through 09/30/2000. OMB 0651-0031
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/817,015

Filing Date

March 23, 2001

First Named Inventor

Willem P.C. Stemmer

Group Art Unit

1633

Examiner Name

Unassigned

Attorney Docket Number

02-104720US

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☒ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Small Entity Statement

☐ Request for Refund

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Additional Enclosure(s) (please identify below):

Signed Declaration, and Statement Under 37 CFR 3.73(b), and Receipt acknowledgment postcard

Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Angela P. Horne, Ph.D. Reg. No. 41,079 The Law Offices of Jonathan Alan Quine

Signature

Angela P. Horne, Ph.D.

Date

August 7, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: August 7, 2001

Typed or printed name

Evelyn Gomez

Signature

Evelyn Gomez

Date

August 7, 2001



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents,

Attn: Box Missing Parts,

Washington, D.C. 20231, on

August 7, 2001

LAW OFFICES OF JONATHAN ALAN QUINE

By

Evelyn Gomez
Evelyn Gomez

Attorney Docket No. 02-104720US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Willem P.C. Stemmer et al.

Application No.: 09/817,015

Filed: March 23, 2001

For: **METHODS FOR MODULATING
CELLULAR AND ORGANISMAL
PHENOTYPES**

Examiner: Unassigned

Art Unit: 1633

TRANSMITTAL LETTER - RESPONSE
TO NOTICE OF MISSING PARTS

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the "Notice to File Missing Parts of Application - Filing Date Granted" dated June 7, 2001, enclosed are the following to be made of record in the above-identified application:

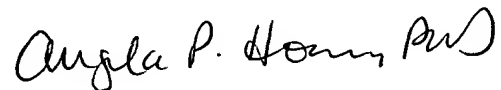
- 1) Executed Declaration
- 2) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b)
(+ copy of Assignment - not for recordation-note: The Assignment for recordation is being sent concurrently under separate cover to Box Assignment, pursuant to the MPEP @ Page 300-M and Form PTO-1619A)
- 3) Copy of Notice of Missing Parts
- 4) Transmittal Sheet
- 5) Receipt indication postcard

Please charge Deposit Account No. 50-0893 for the following fees:

Large entity:	(a)	Filing Fee (§ 1.16(a)) (Large Entity)	\$ 710.00
	(b)	Excess Claims Fees (§ 1.16(b), (c)):	
		$193 - 20 = 173 \times 18 =$	\$ 3114.00
		$6 - 3 = 3 \times 80 =$	\$ 240.00
	(c)	Missing Parts Surcharge	\$ 130.00
		TOTAL FEES TO BE CHARGED	\$ 4194.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 50-0893. *This Transmittal Letter is submitted in duplicate.*

Respectfully submitted,



Angela P. Home, Ph.D.
Reg. No. 41,079

LAW OFFICES OF JONATHAN ALAN QUINE
P.O. BOX 458
Alameda, CA 94501
(510) 337-7871
Fax (510) 337-7877



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/817,015	03/23/2001	Willem P.C. Stemmer	02-104720US

CONFIRMATION NO. 5728

22798
LAW OFFICES OF JONATHAN ALAN QUINE
P O BOX 458
ALAMEDA, CA 94501

FORMALITIES LETTER



OC000000006160227

Date Mailed: 06/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION RECEIVED

FILED UNDER 37 CFR 1.53(b)

JUN 11 2001

Filing Date Granted

LAW OFFICES OF
JONATHAN ALAN QUINE

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$3840.
 - \$3330 for 185 total claims over 20.
 - \$240 for 3 independent claims over 3 .
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 4680.

08/28/2001 SSALEEKU 00000008 500893 09817015

01 FC:103	144.00 CH
02 FC:104	270.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/14/2001 MBERHE 00000063 500893 09817015

01 FC:101	710.00 CH
02 FC:105	130.00 CH
03 FC:103	3114.00 CH
04 FC:102	240.00 CH